

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

09/786049

1086.1141

INTERNATIONAL APPLICATION NO.

PCT/JP98/05759

INTERNATIONAL FILING DATE

18 December, 1998

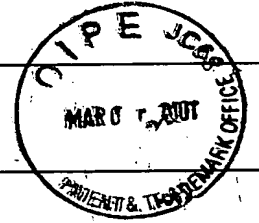
PRIORITY DATE CLAIMED

TITLE OF INVENTION

CACHE DEVICE AND CONTROL METHOD

APPLICANT(S) FOR DO/EO/US

Mitsuru SATO, et al.



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
3. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
7. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. ☐ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 10-15 below concern document(s) or information included:

10. ☒ An Information Disclosure Statement Under 37 CFR 1.97 and 1.98.
11. ☐ An assignment document for recording.  
Please mail the recorded assignment document to:
  - a. ☐ the person whose signature, name & address appears at the bottom of this document.
  - b. ☐ the following:
12. ☒ A preliminary amendment.
13. ☐ A substitute specification
14. ☐ A change of power of attorney and/or address letter.
15. ☐ Other items or information:

PCT/IB/332 and PCT/IB/308.

09/786049 "0612001" T02T90

09/786049

JC02 d PCT/PTO 01 MAR 2001

2. [X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	16 -20 =	0	x \$ 18.00	0.00
	INDEPENDENT CLAIMS	6 -3 =	3	x \$ 80.00	240.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	0.00
	<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4):</b>				
	[ ] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,000				
	[X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.. . . . \$ 860				\$860.00
	[ ] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$ 710				
	[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690				
	[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4).....\$ 100				
	Surcharge of \$130 for furnishing the National fee or oath or declaration later than [ ] 20 [ ] 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).				0.00
	<b>TOTAL OF ABOVE CALCULATIONS</b>				\$1100.00
	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)				
	<b>SUBTOTAL</b>				\$1100.00
	Processing fee of \$130 for furnishing the English Translation later than [ ] 20 [ ] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).				
	<b>TOTAL NATIONAL FEE</b>				0.00
	Fee for recording the enclosed assignment (37 CFR 1.21(h)).				+
	<b>TOTAL FEES ENCLOSED</b>				\$1100.00

- a. [X] A check in the amount of \$ 1100.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. 19-3935 in the Amount of: \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



21171

PATENT TRADEMARK OFFICE

2/27/01

DATE

James D. Halsey, Jr.

REGISTRATION NO. 22,729

09786049 "051301"